

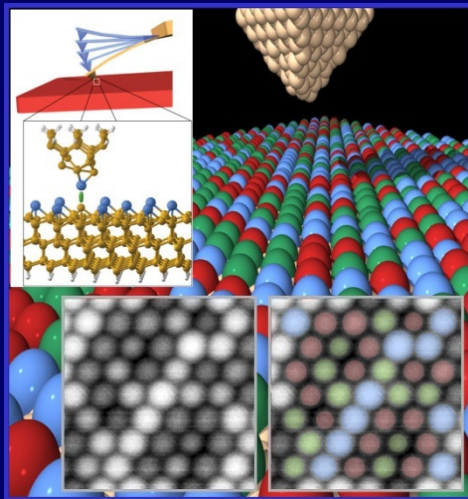
# Imaging, Manipulation and Chemical Identification of Individual Atoms with dynamic Force Microscopy: A theoretical perspective.

Rubén Pérez

Nanomechanics & SPM Theory Group

Departamento de Física Teórica de la Materia Condensada

<http://www.uam.es/ruben.perez>

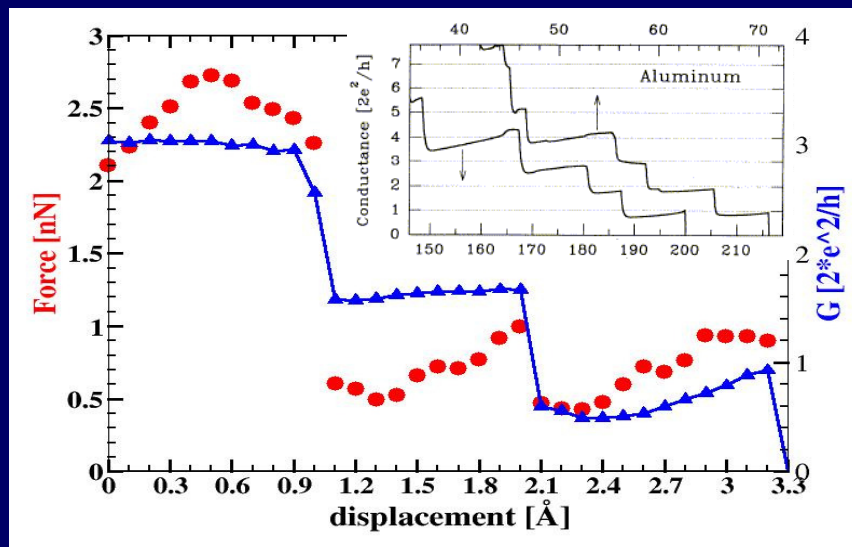
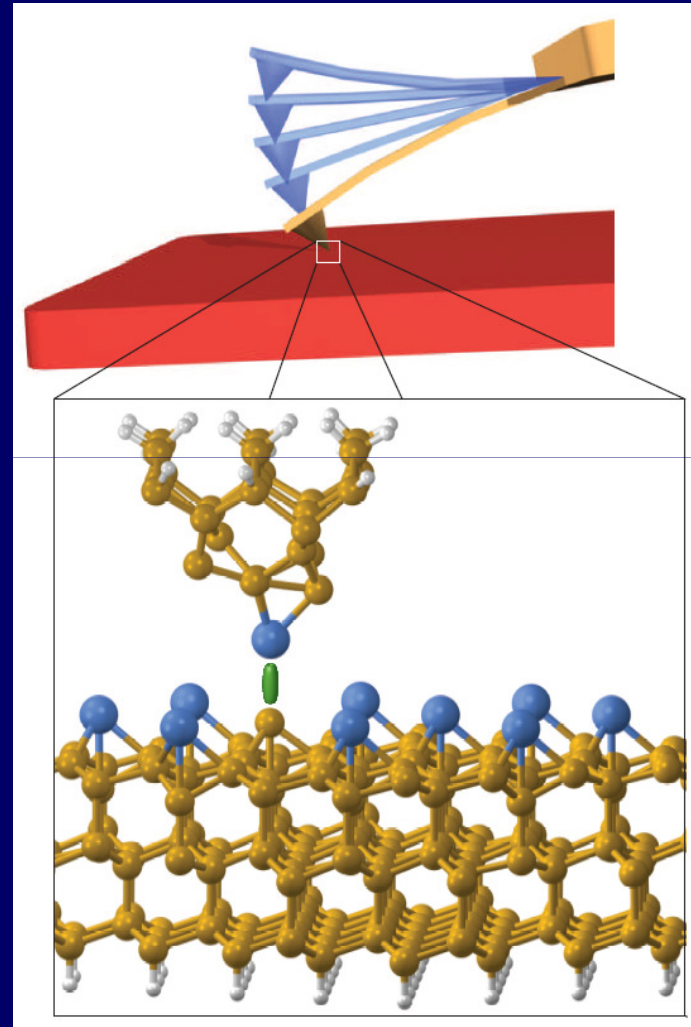
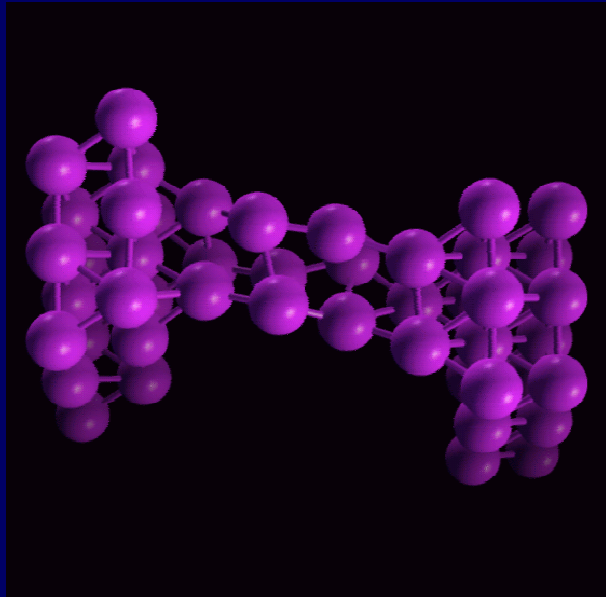


NanoSpain, Zaragoza March 9-12th 2009

# Outline

- 1. Nanomechanics & SPM Theory Group: Forces & Transport in Nanostructures with ab initio methods**
- 2. “Tip-Induced Reduction of the Resonant Tunneling Current on Semiconductor Surfaces”**  
Phys. Rev. Lett. 101, 176101 (2008)
- 3. “Fullerenes from Aromatic Precursors by Surface Catalysed Cyclo-dehydrogenation”**  
Nature 454, 865 (2008)
- 4. “Complex Patterning by Vertical Interchange Atom Manipulation Using Atomic Force Microscopy”**  
Science 322, 413 (2008)

# Forces & Transport in Nanostructures: First-principles calculations



# Methodology

“The computer is a tool for clear thinking” Freeman J. Dyson

Ab-initio total energy methods

(based in Density  
Functional Theory)



Non-equilibrium  
Green's Functions

both plane wave & local  
orbital basis:  
accuracy/efficiency balance



Linked with the local  
orbital description

Structure + electronic properties

FIREBALL, OPENMX  
CASTEP, VASP

Electronic transport

# “Tip-Induced Reduction of the Resonant Tunneling Current on Semiconductor Surfaces”

Phys. Rev. Lett. 101, 176101 (2008)

Ab initio Simulations:

**UAM:** P. Pou, R. Perez

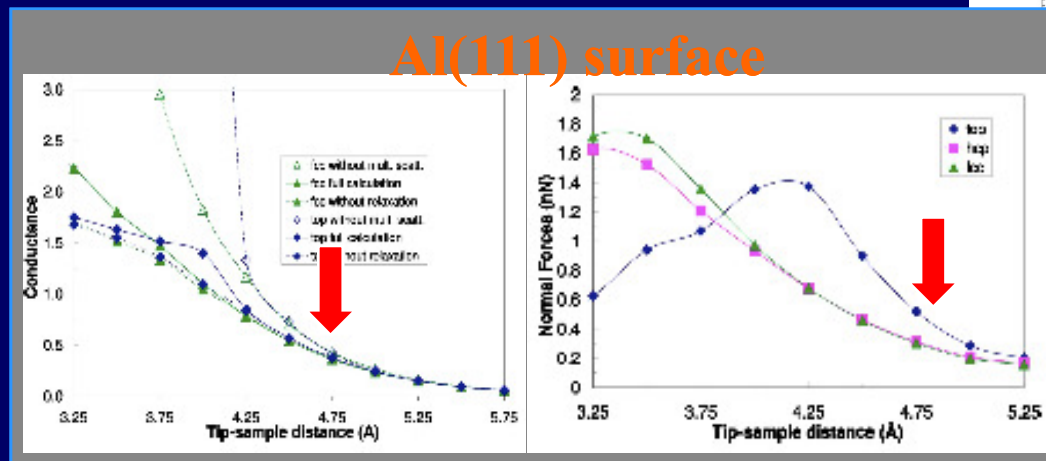
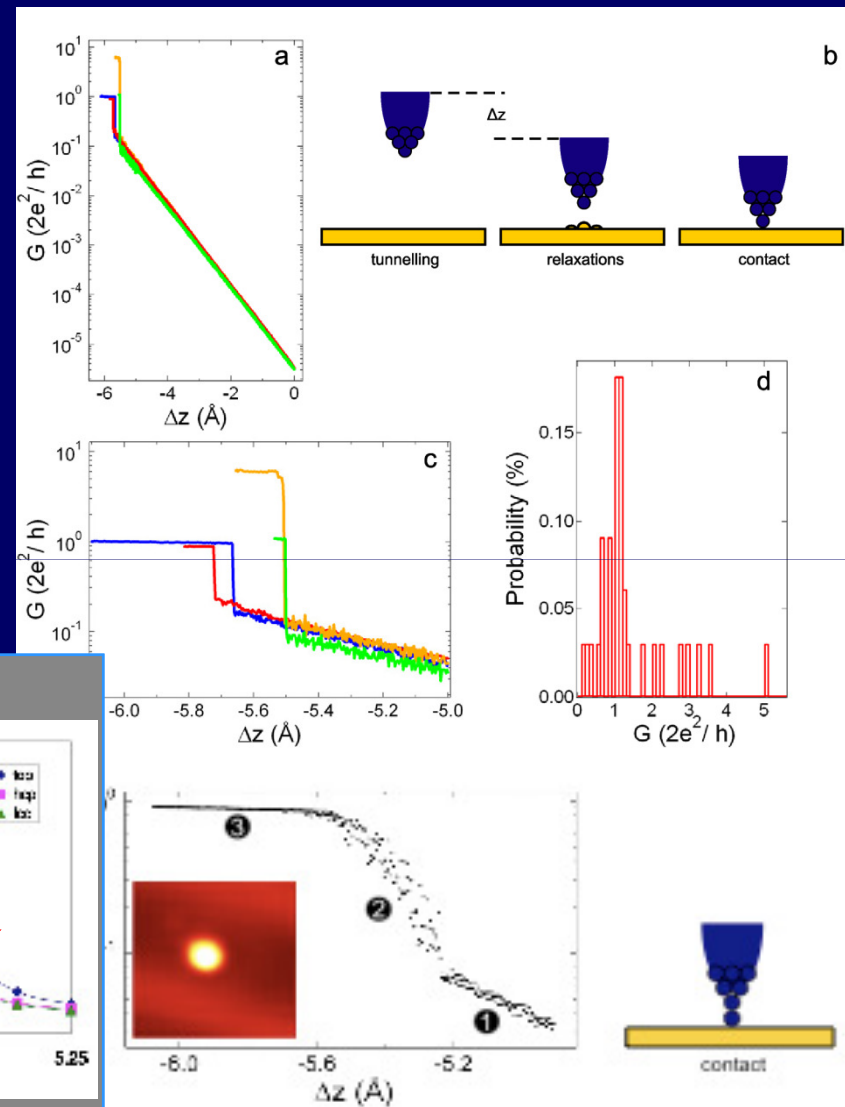
**FZU(Prague):** P. Jelinek

STM Experiments:

**FZU (Prague):** M. Švec, V. Chab

# Atomic contact: metals

- metal surfaces - **monotonic increase** of the **conductance** observed while approaching the tip to the sample
- transition to the contact related relaxation of the atoms
- correlation** between **multiple scattering** effects and **SR forces**: no longer **exponential behavior**

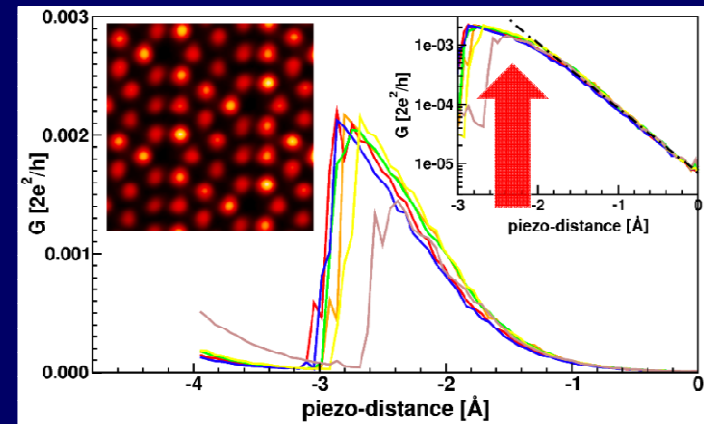
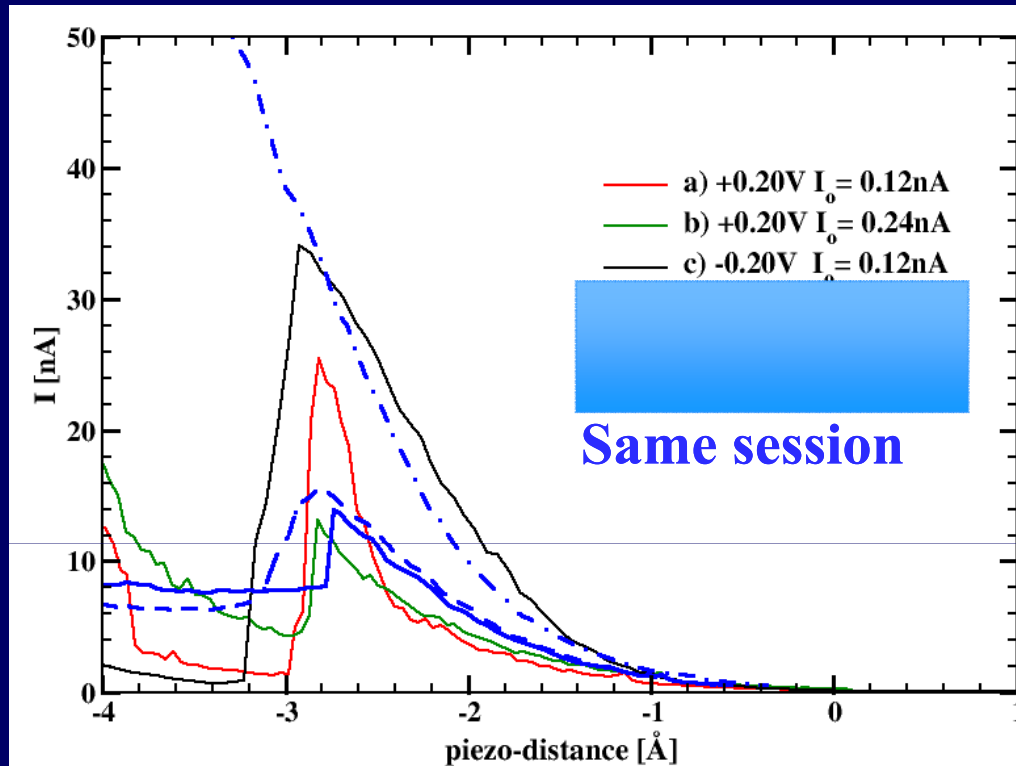


J.M. Blanco et. al. PRB 70 085405 (2004)

J.Kröger et. al. New Journal of Physics 9 153 (2007)

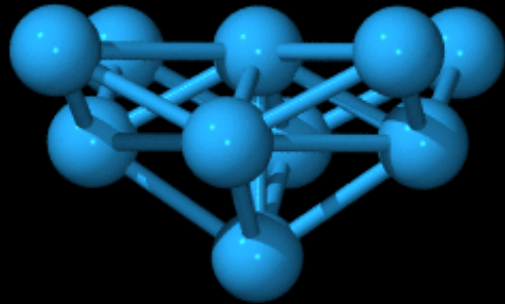
**semiconductor** surfaces – almost **no information**

# Conductance drop: summary



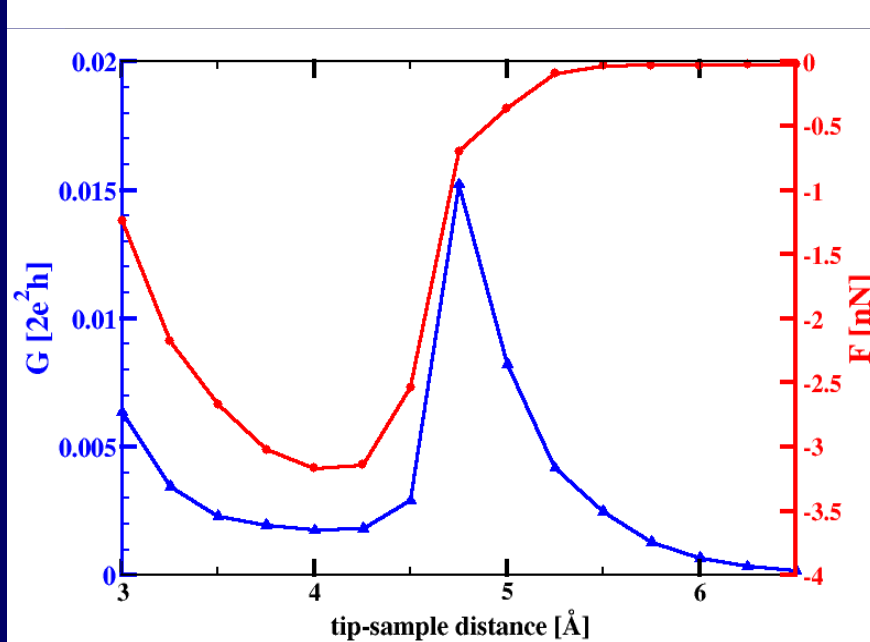
- well reproducible during different sessions
- observable only **at small bias voltage**
- tip structure slightly modify the shape but not the feature
- observed at **both polarities** and **both scan z-directions** of tip
- before jump almost exponential behavior

# DFT simulation: CoA Si7x7 + tip W

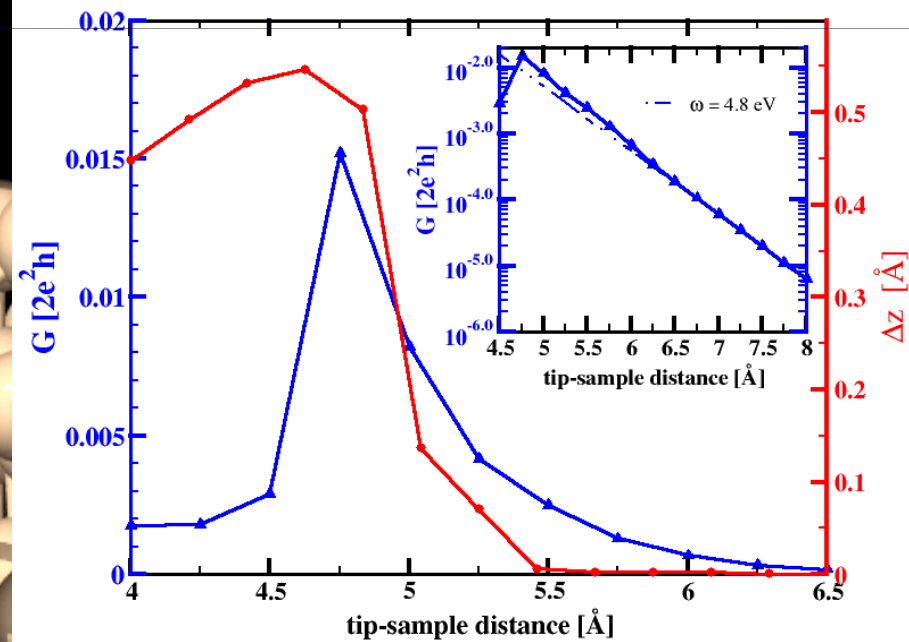


- distortion of the local structure of the tip and sample at short distances
- reversible process
- attractive **short-range force onset** corresponds to the **drop in the conductance**

## Conductance and force: Si corner adatom



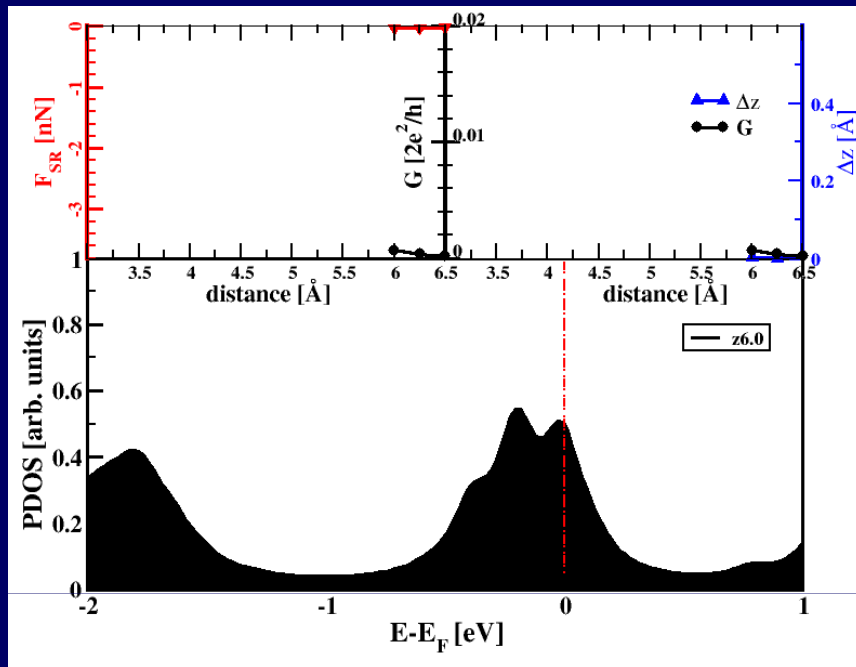
Conductance & short-range force



Conductance & Si ad. displacement



# Electron density along the path

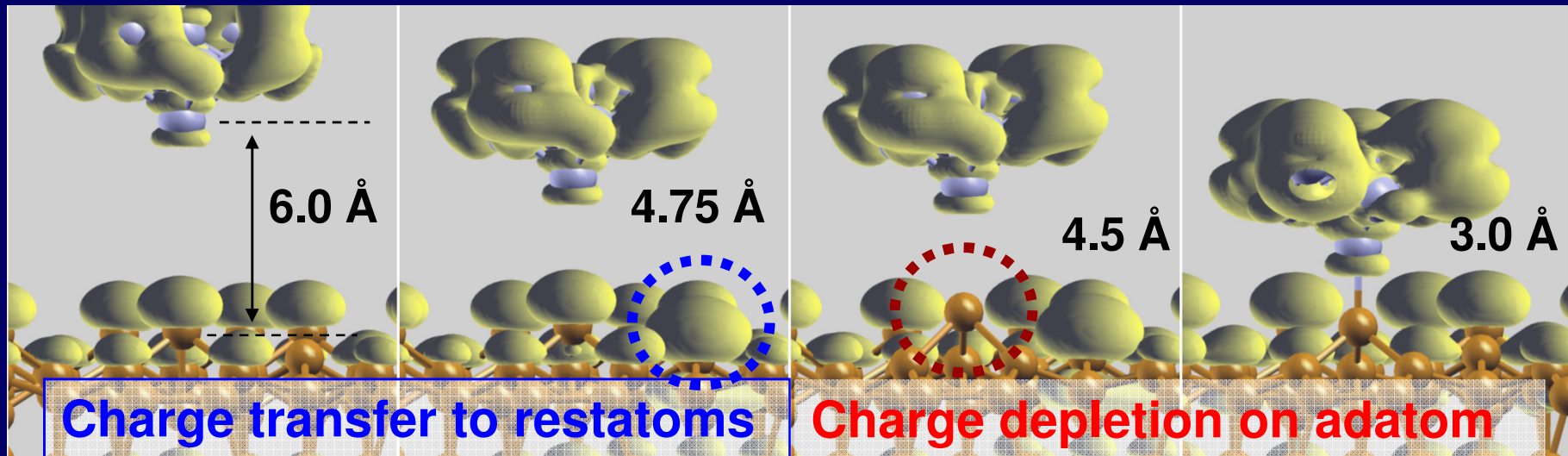


- chemical interaction between the tip and sample changes the position of Si dangling bonds near the Fermi level

→ direct impact on the tunnelling current along the tip-sample distance

P. Jelinek et. al. PRL 101, 176101 (2008)

Isosurfaces of  $0.05 \text{ e}/\text{\AA}^3$   
in the energy range  $E_F, E_F - 0.4 \text{ eV}$





**“Fullerenes from Aromatic Precursors  
by Surface-catalysed Cyclodehydrogenation”**  
Nature 454, 865 (2008)

Ab initio Simulations:

**UAM:** G. Biddau, M. Basanta, J. Ortega, R. Perez

Surface Science:

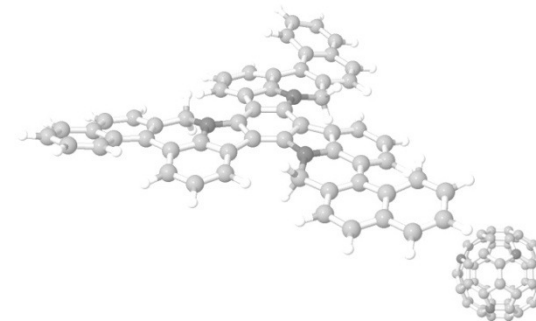
**ICMM (CSIC):** G. Otero, C. Sanchez-Sanchez, R. Caillard, M.F. Lopez, C. Rogero, F.J. Palomares, J. Mendez, J.A. Martin-Gago

Chemistry:

**ICMM (CSIC):** B. Gomez-Lor

**ICIQ:** N. Cabello, A.M. Echevarren

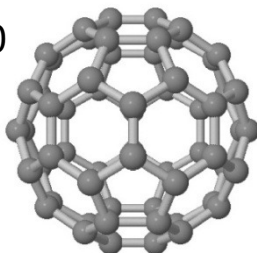
# Objective and motivations



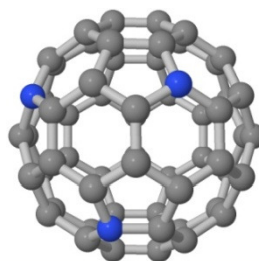
## OBJECTIVE:

Study and efficient synthesis fullerenes and triazafullerenes

$C_{60}$



$C_{57}N_3$



## MOTIVATIONS:

microelectronics, superconductivity,  
corrosion resistance, non linear optics,  
organic ferromagnetism...

## Available synthesis methods:

Fullerenes:

- Graphite Vaporization  
(*uncontrolled*)

- Through dehydrogenation<sup>1</sup>  
(*low efficiency*)

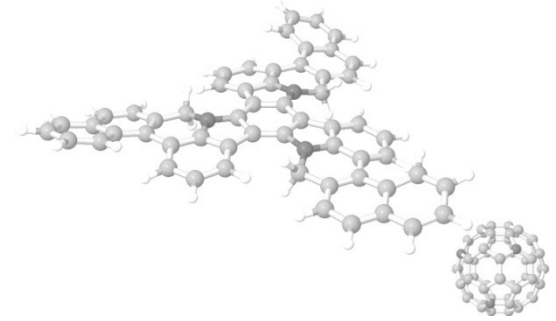
Triazafulleres:

**none**

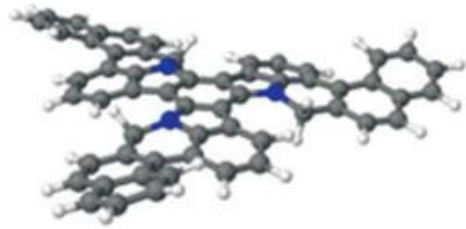
1] Scotts et al., Science 295, 1500-1503 (2002)

# The Process

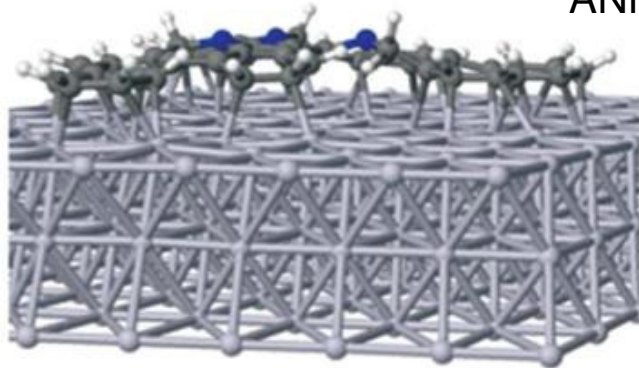
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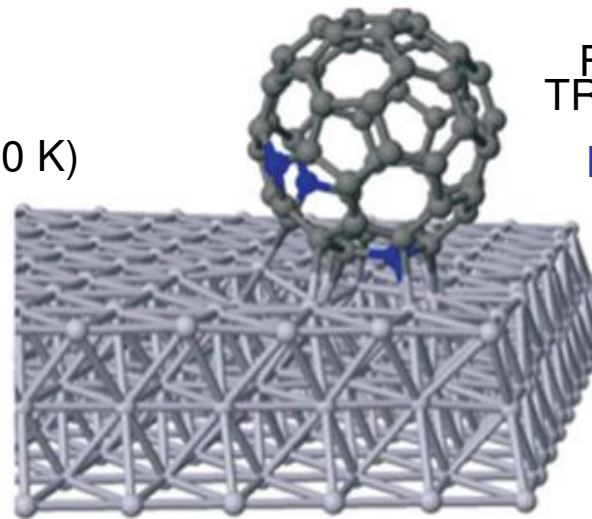
VACUUM THERMAL EVAPORATION



Adsorption process



ANNEALING (750 K)



Cyclodehydrogenation

FULLERENE AND  
TRIAZAFULLERENES

**Efficiency ~100%**

G.Otero et al., Nature 454, 865 (2008)

**“Complex Patterning by Vertical Interchange  
Atom Manipulation Using Atomic Force  
Microscopy”**

**Science 322, 413 (2008)**

**Ab initio Simulations:**

**UAM:** P. Pou, R. Perez

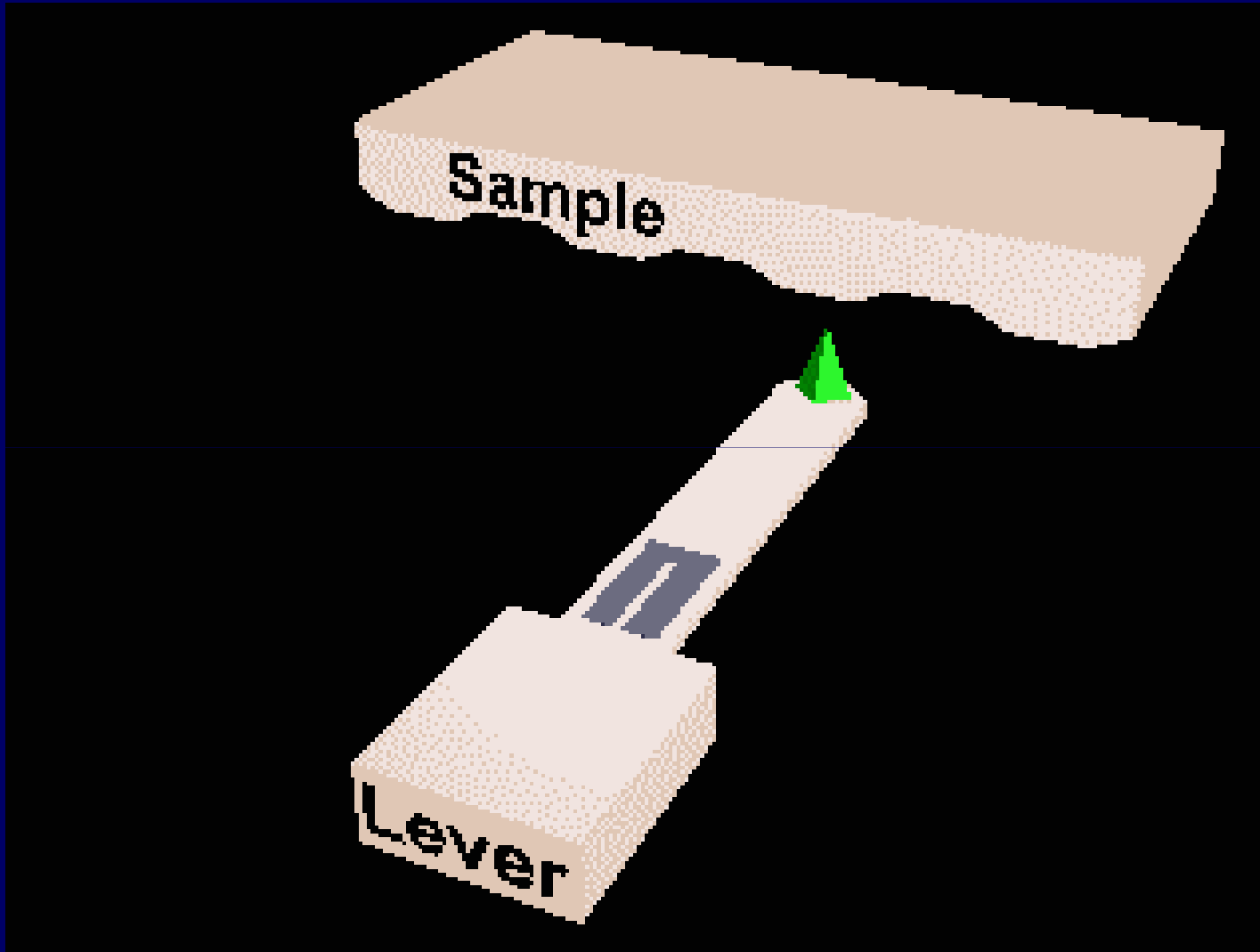
**FZU(Prague):** P. Jelinek

**AFM Experiments:**

**Osaka University:** Y. Sugimoto, M. Abe, S. Morita

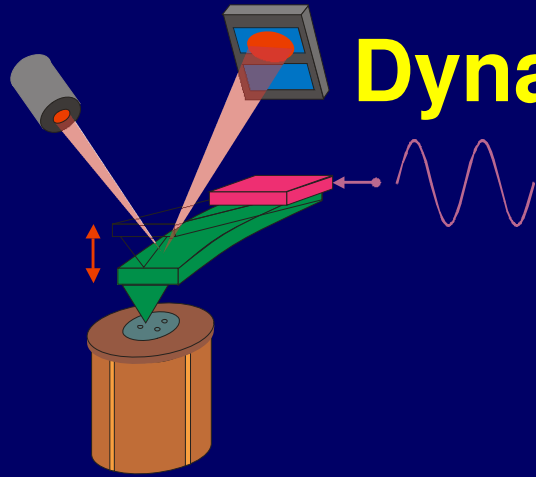
**NIMS (Tsukuba, Japan):** O. Custance

# Dynamic AFM



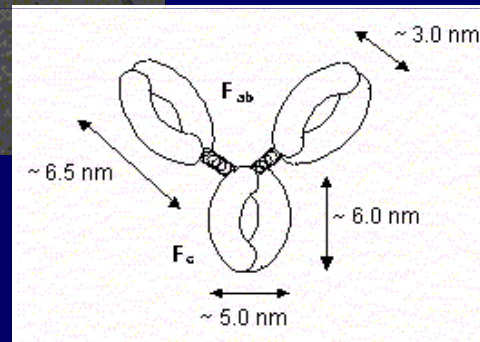
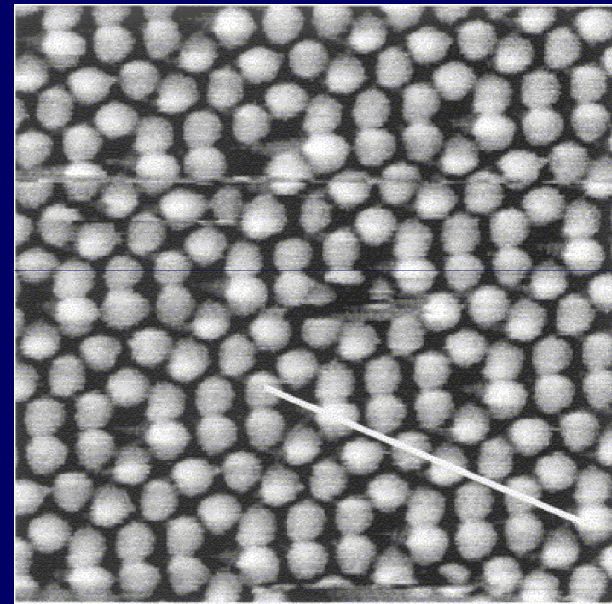
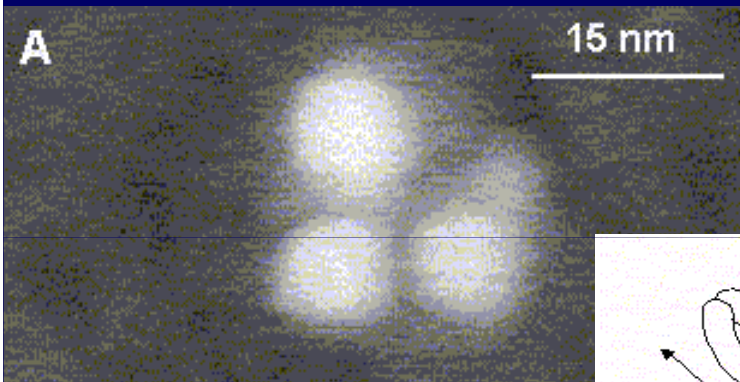
[http://monet.physik.unibas.ch/famars/afm\\_prin.htm](http://monet.physik.unibas.ch/famars/afm_prin.htm)





# Dynamic AFM: Our Goal

Why changes observed in the dynamic properties of a vibrating cantilever with a tip that interacts with a surface make possible to:



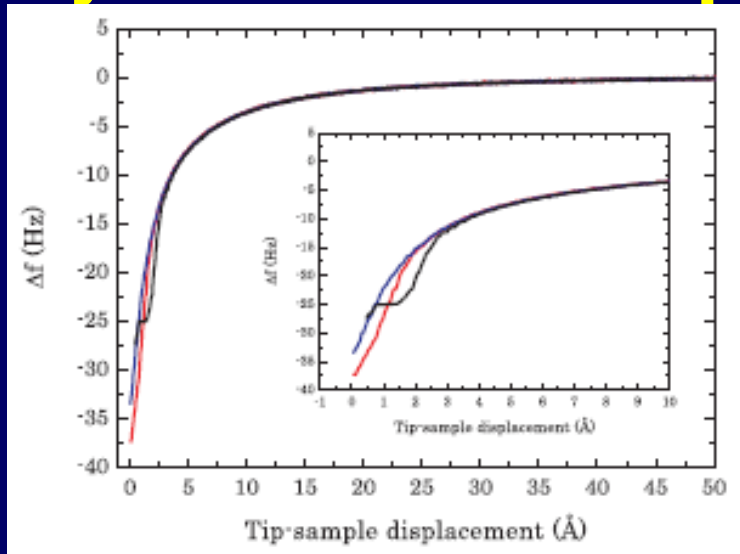
## AM-dAFM

- Obtain **molecular resolution** images of biological samples in **ambient conditions**.

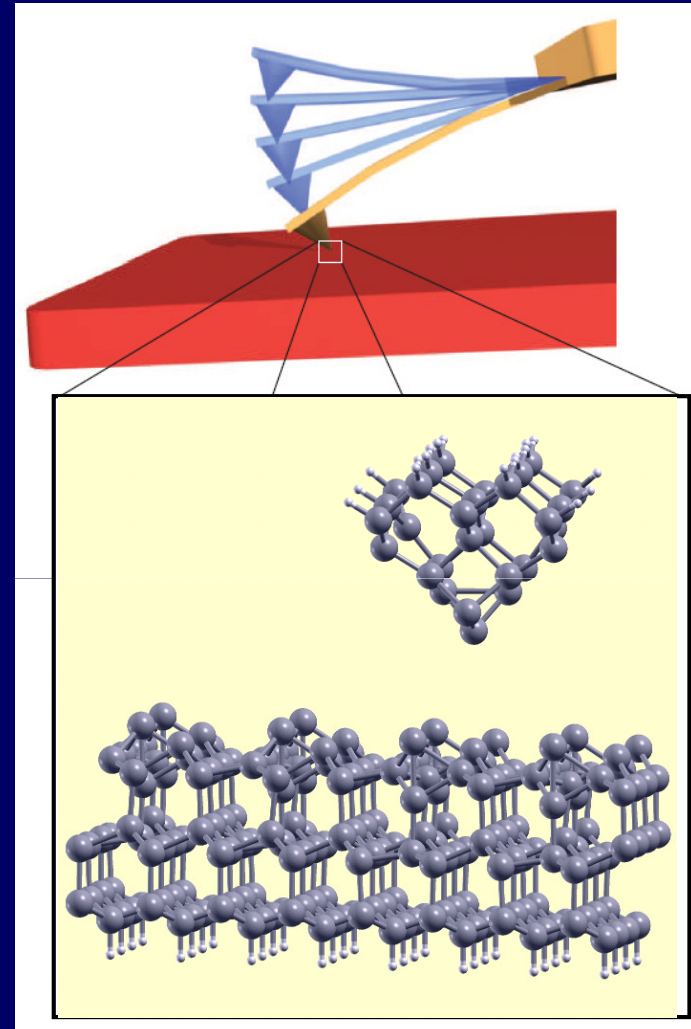
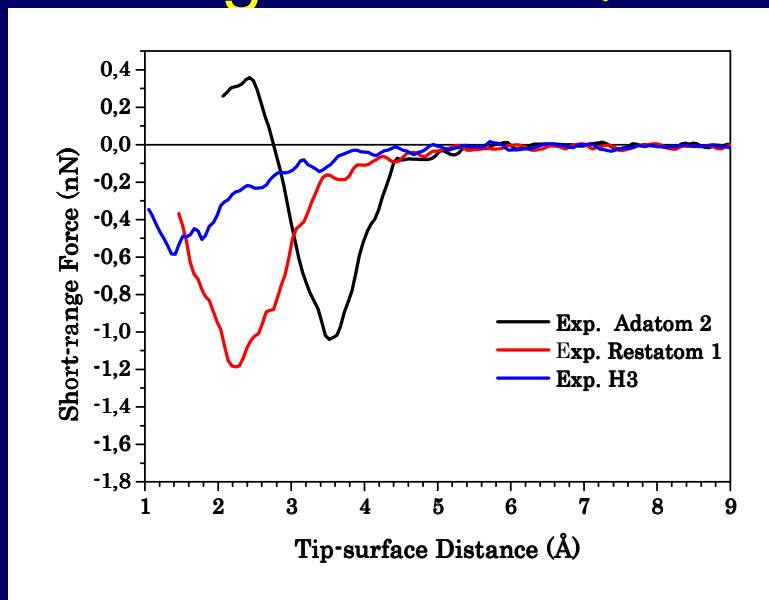
- Resolve **atomic-scale** defects in **UHV**. **FM-dAFM**

R. García and R. Pérez, Surf. Sci. Rep. 47, 197 (2002)

# Dynamic Force Spectroscopy: Access to $F_{ts}$



Inversion algorithms



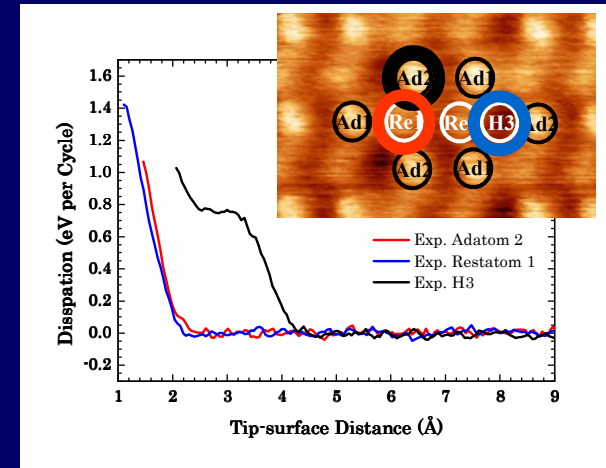
SR forces amenable to ab initio calculations



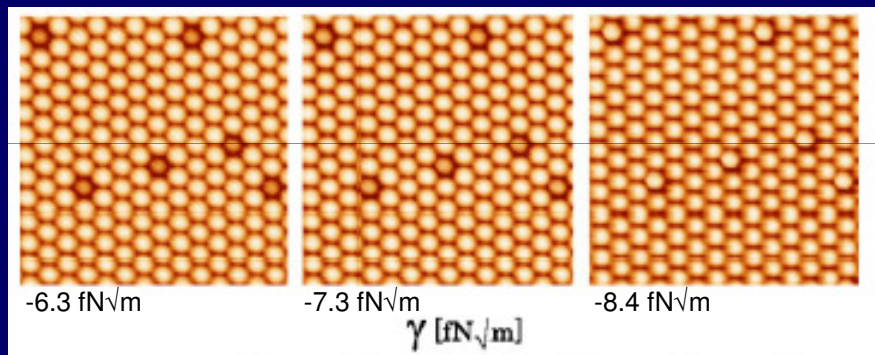
# Recent developments in FM-AFM

1. **DISSIPATION:** Characterizing the tip structure and identifying a dissipation channel due to single atomic contact adhesion.

N. Oyabu et al. Phys. Rev. Lett. 96, 106101 (2006).



2. **IMAGING:** changes in topography: access to the real surface structure?



Y. Sugimoto et al  
Phys. Rev. B 73, 205329 (2006).

3. **CHEMICAL IDENTIFICATION:**

based on the relative interaction ratio of the maximum attractive force measured by dynamic force spectroscopy

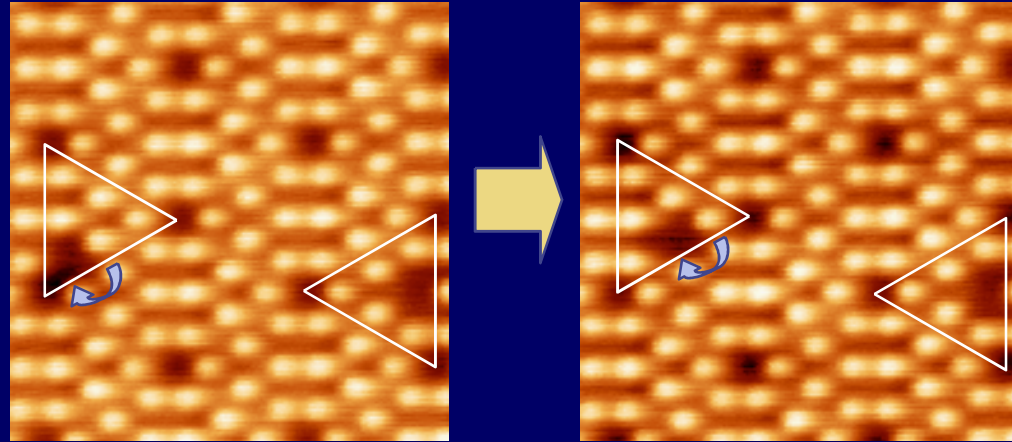
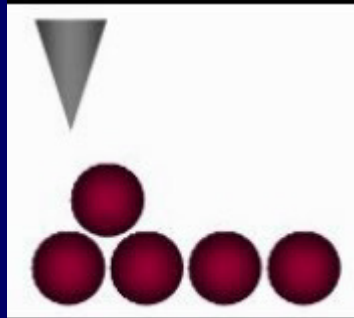
Y. Sugimoto et al Nature 446, 64 (2007).



# Recent developments in FM-AFM

## Understanding RT DFM-based single-atom manipulation

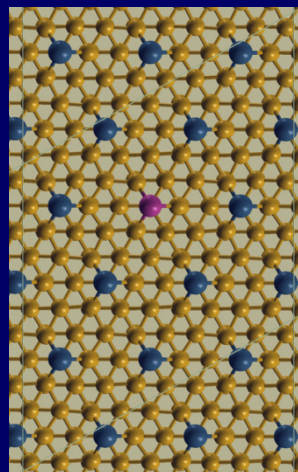
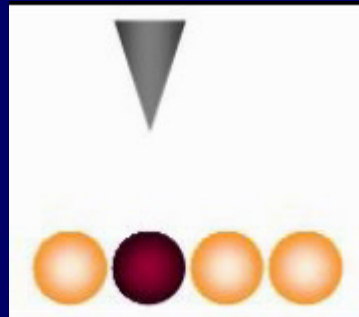
4. LATERAL MANIPULATION: Si vacancy on Si(111)-7x7 (tip assisted thermal hopping)



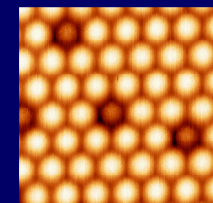
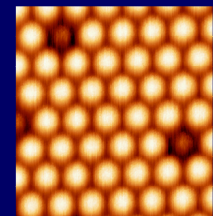
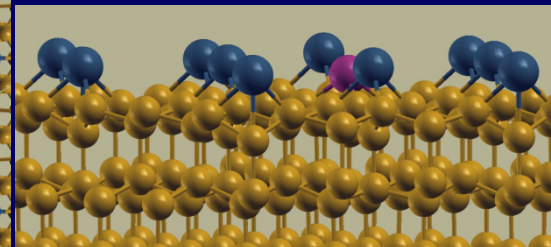
Y. Sugimoto et al. Phys. Rev. Lett. 98, 106104 (2007).

5. VERTICAL MANIPULATION:

Tip/sample  
exchange of  
atoms on  
Sn/Si(111)



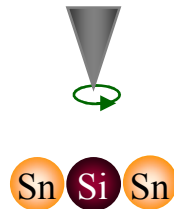
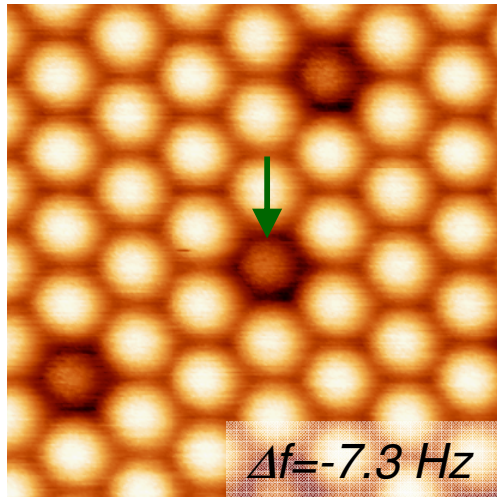
$\alpha$ -Sn/Si(111)-( $\sqrt{3}\times\sqrt{3}$ )



Y. Sugimoto et al.,  
Science 322, 413 (2008).

# Interchange vertical manipulation: Si→Sn

Tip positioning over a selected Si atom



Atom tracking technique

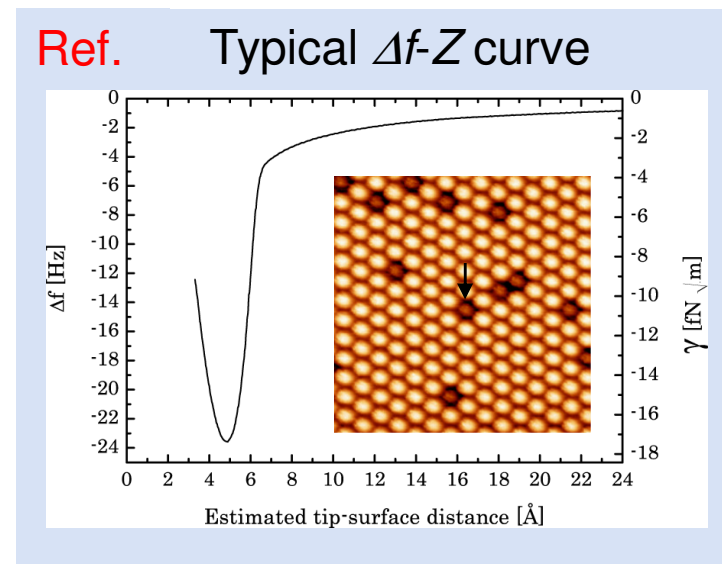
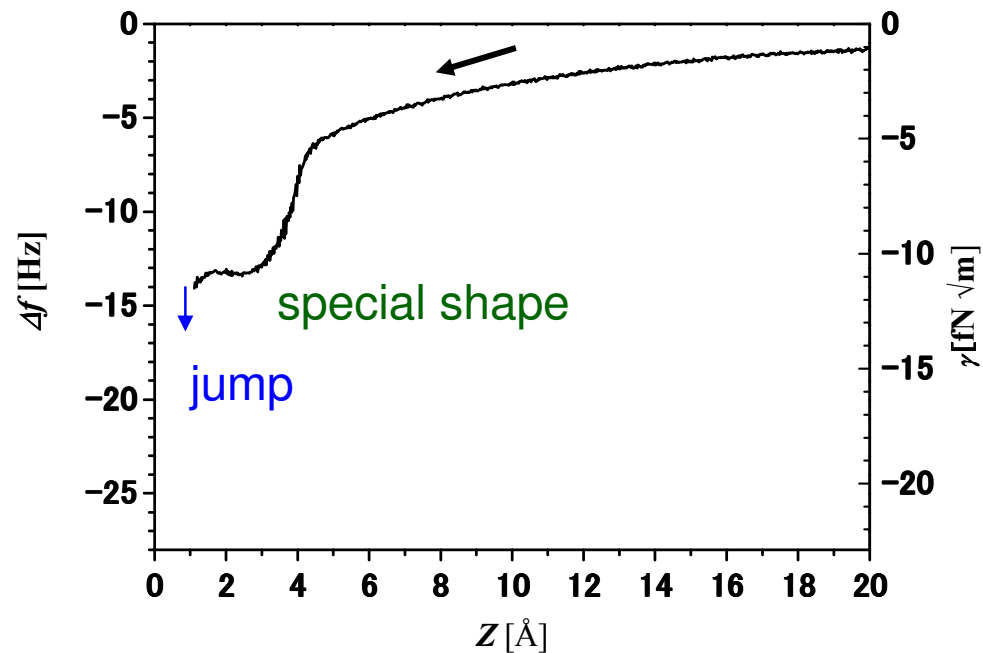
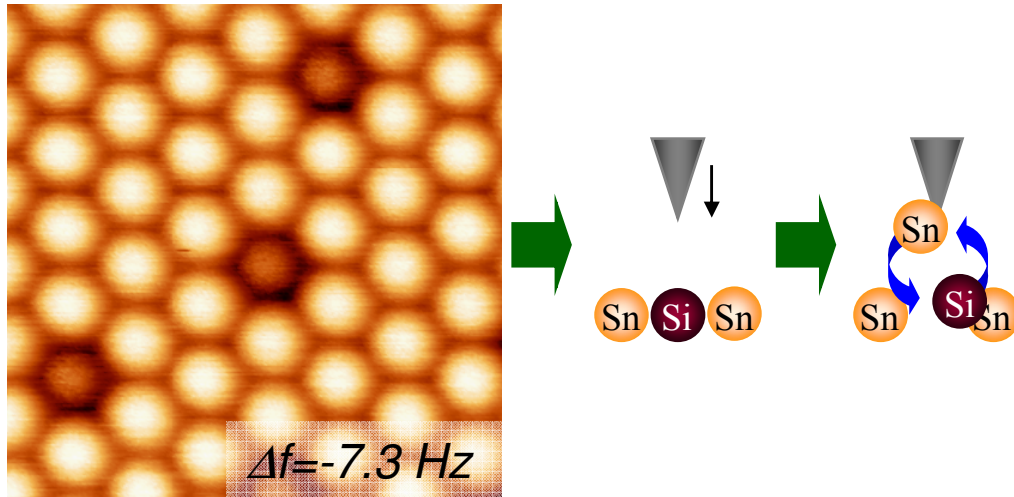
Lateral precision:  $\pm 0.1 \text{ \AA}$

M. Abe, Y. Sugimoto, O. Custance, and S. Morita, Appl. Phys. Lett. 87 (2005) 173503.

M. Abe, Y. Sugimoto, O. Custance, and S. Morita, Nanotechnology 16 (2005) 3029.

# Interchange vertical manipulation: Si→Sn

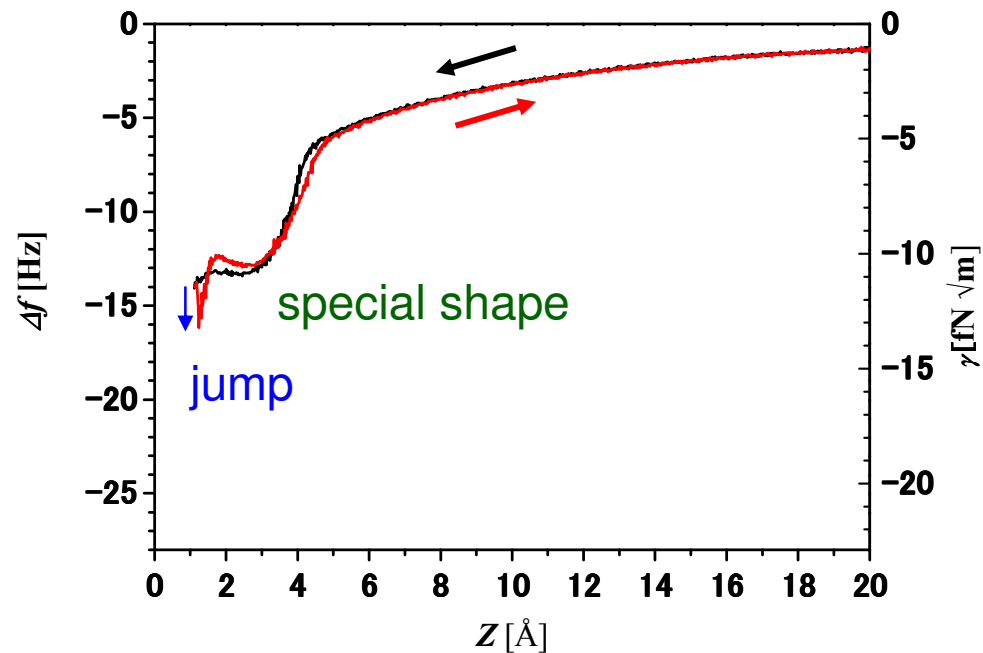
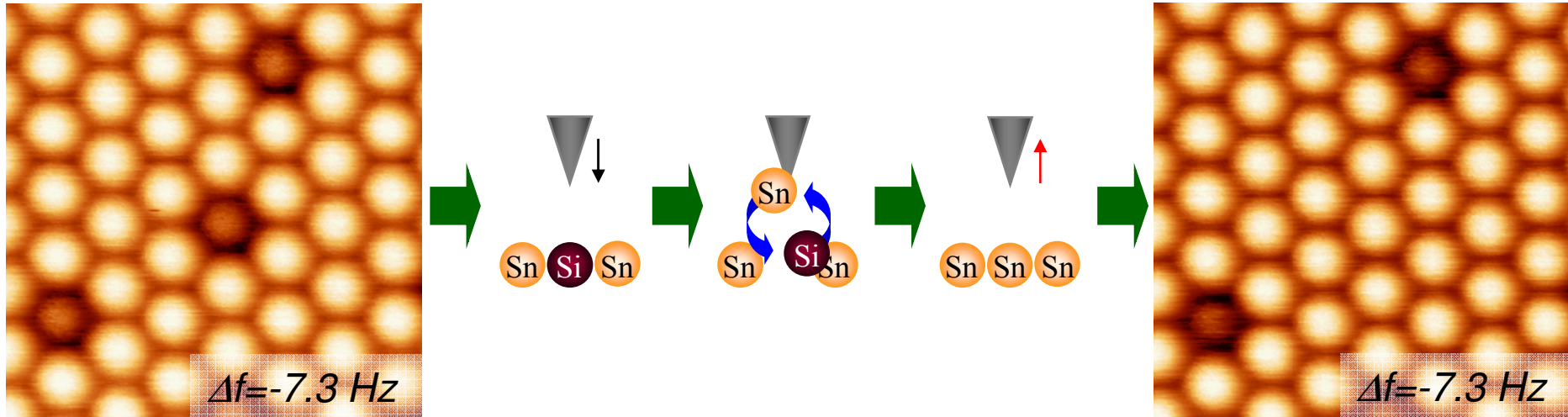
Tip approach toward the Si atom





# Interchange vertical manipulation: Si→Sn

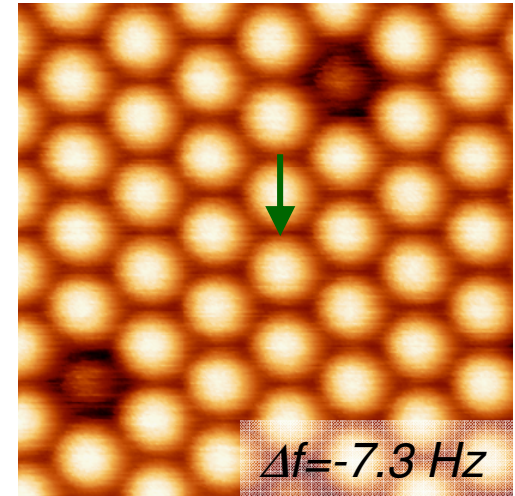
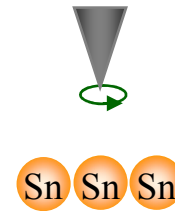
Tip retraction from the surface



- The **Si atom** on the surface was interchanged with a **Sn atom** at the tip apex.
- The contrast of the images before and after manipulation are almost same.

# Interchange vertical manipulation: Sn→Si

Tip positioning over the previously deposited Sn atom



Atom tracking technique

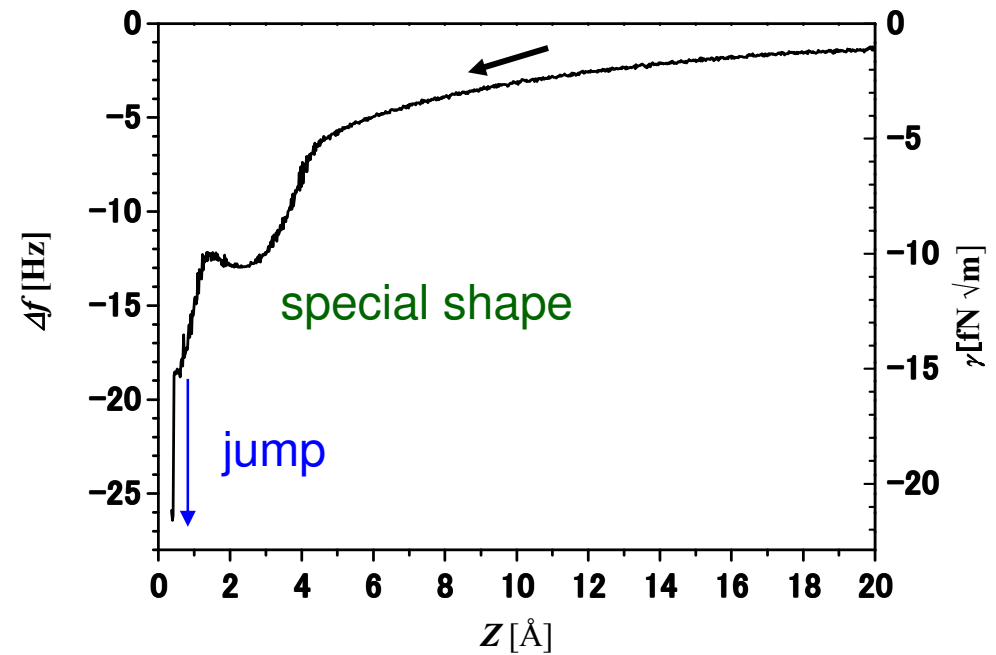
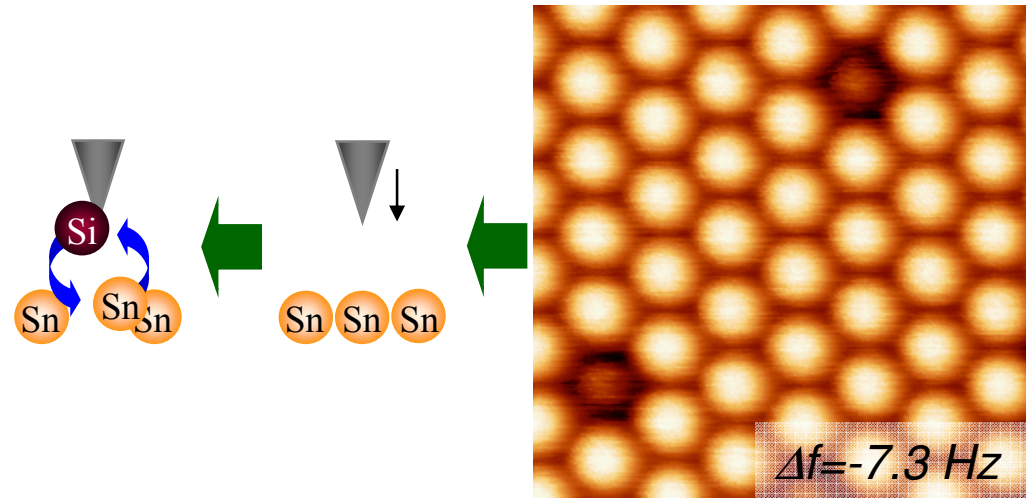
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M. Abe, Y. Sugimoto, O. Custance, and S. Morita, Nanotechnology 16 (2005) 3029.

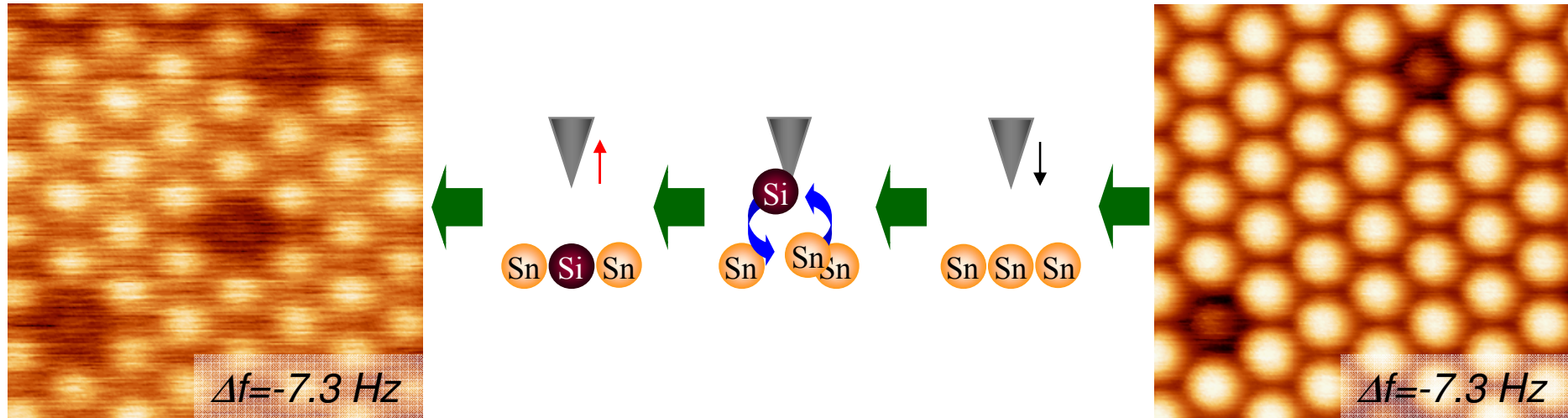
# Interchange vertical manipulation: Sn→Si

Tip approach toward the deposited Sn atom

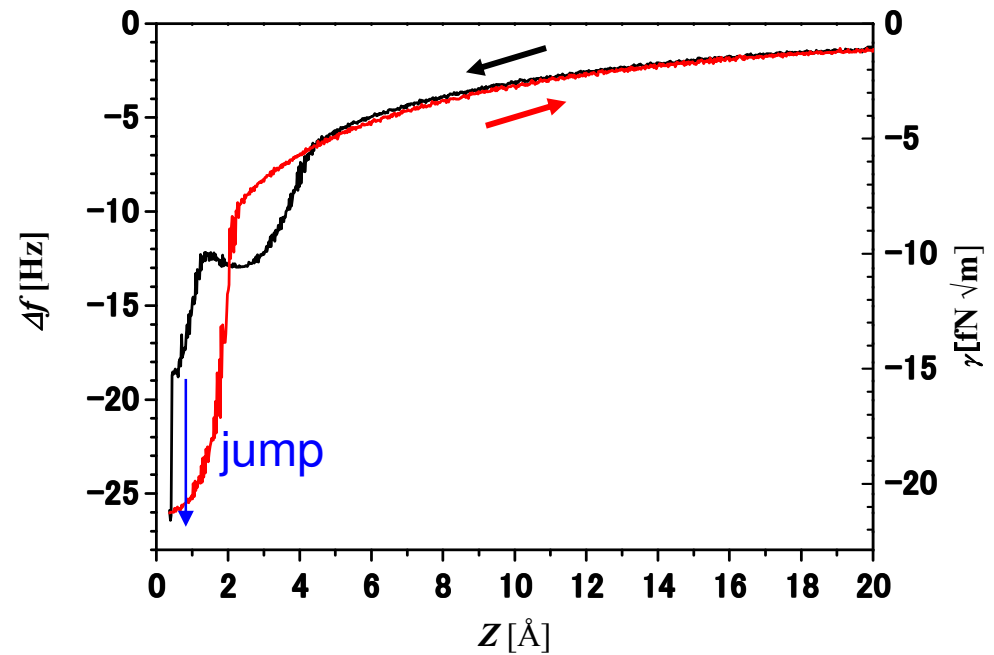


# Interchange vertical manipulation: Sn→Si

Tip retraction from the surface

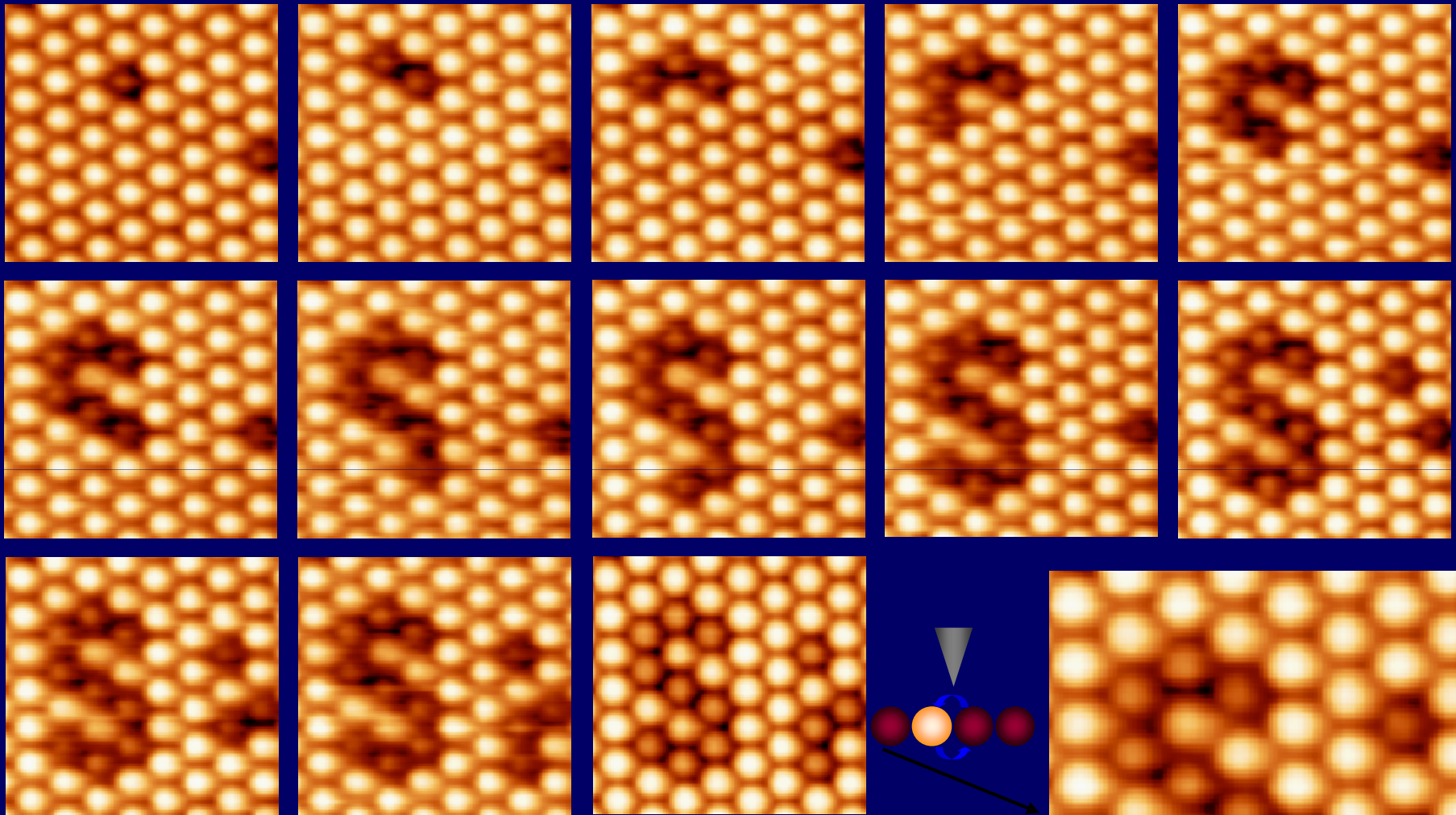


- The Sn atom on the surface was interchanged with a Si atom at the tip apex.
- The image contrast dramatically changed after atom interchange.



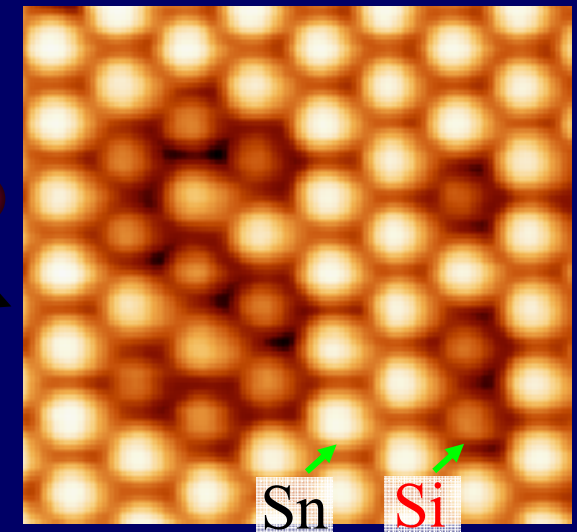


# Atomic “dip-pen” nanolithography

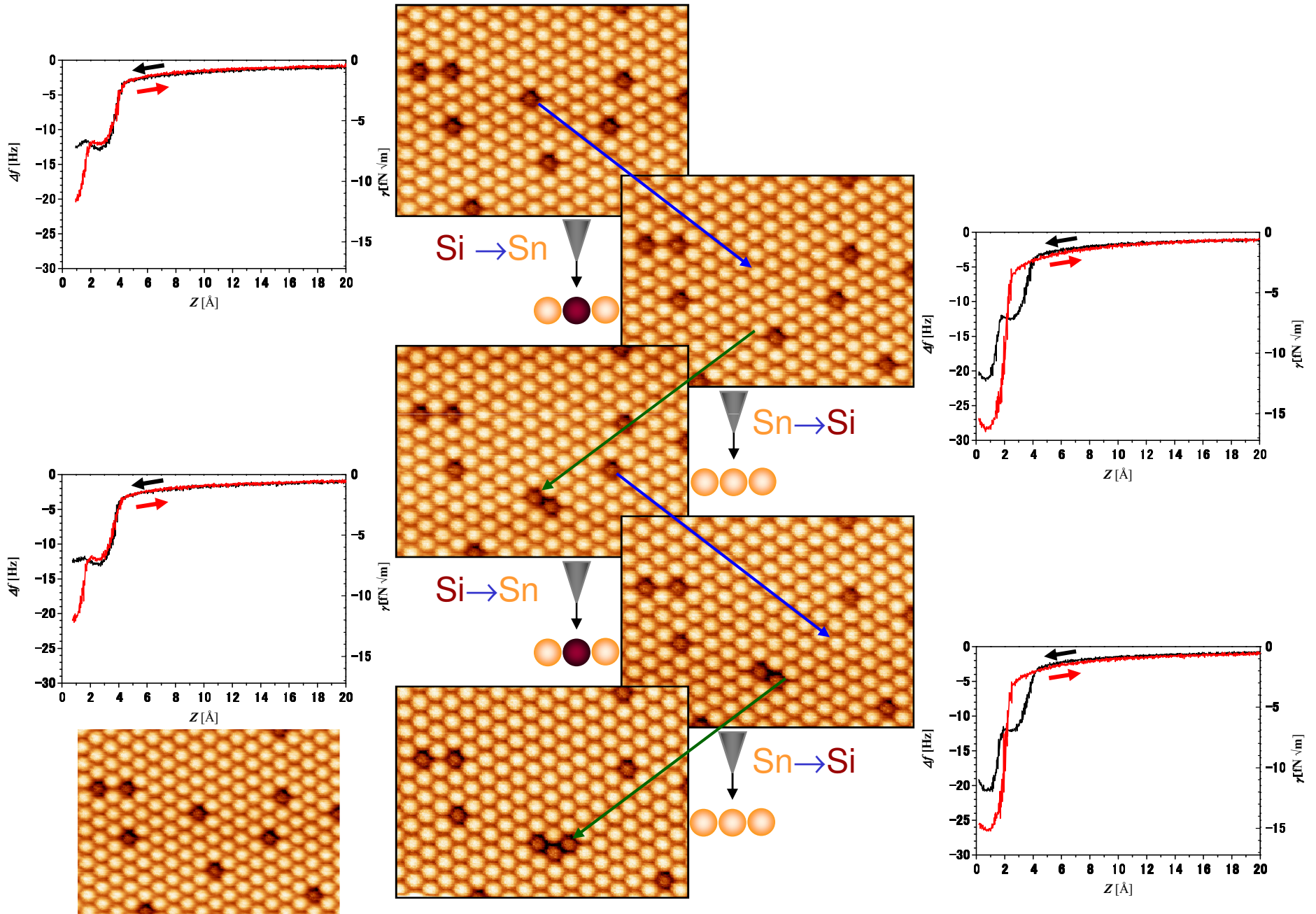


- 11 Interchange vertical manipulations  
+ 1 Interchange lateral manipulation

- The construction time was reduced to 1.5 hours.



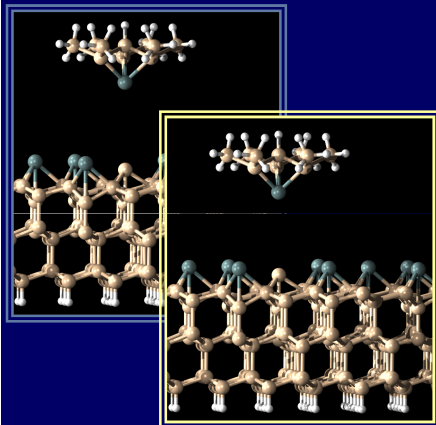
# Reproducibility



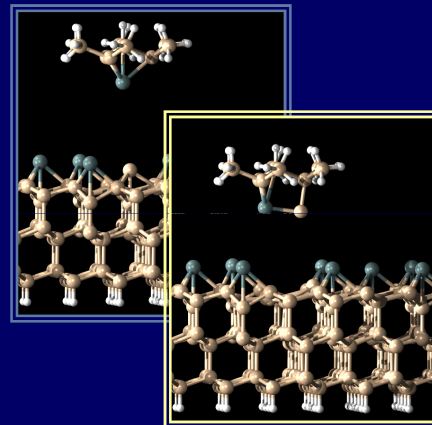
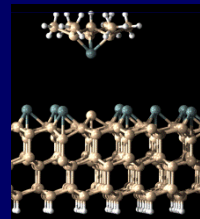


# A complex phase space...

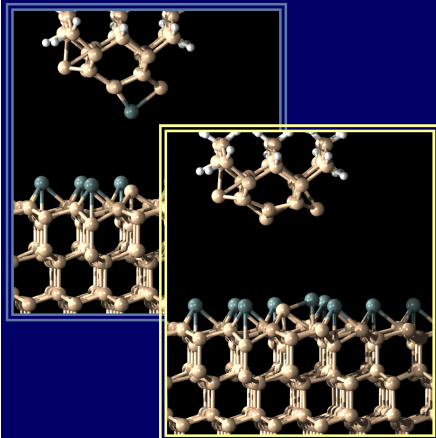
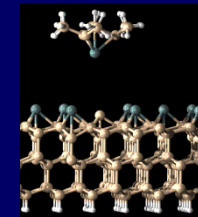
- Manipulation in the strong tip-surface interaction regime.
- Tip and sample modification, several solutions (complex phase space): plastic deformations.
- Jumps between solutions also upon retraction. **Different “final” configurations!!** (depending on the indentation depth, the position or the atomic structure of the tip).



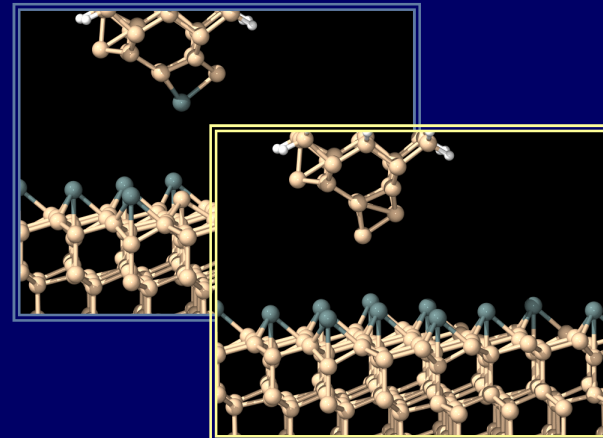
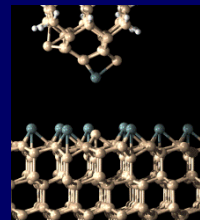
Final configuration  
||  
Initial configuration



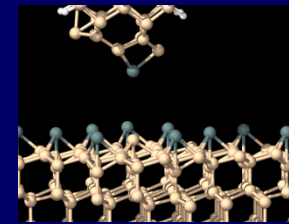
Final configuration:  
Surface atom transferred to tip.  
Creation of an atomic vacancy  
(N. Oyabu et al, PRL 2003)



Final configuration:  
Deposition of a tip  
atom in the surface  
(N. Oyabu et al, PRL 2003)



Final configuration:  
Atom interchange  
(Sugimoto et al,  
Science 322, 413, 2008)

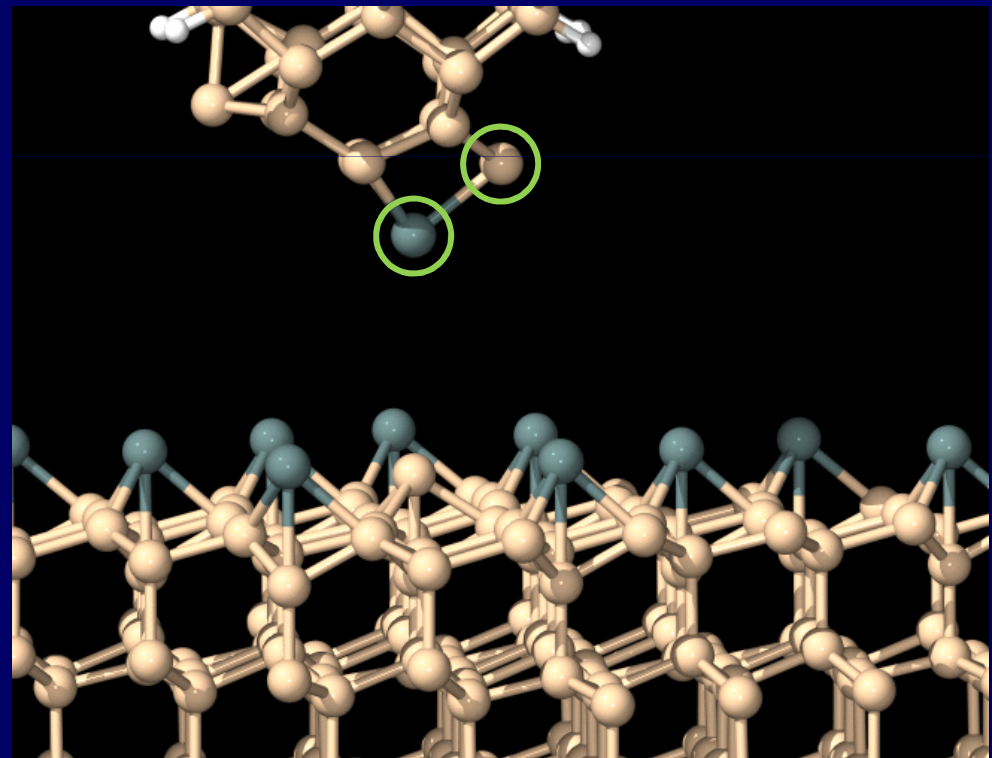


# Mechanism: Tip model

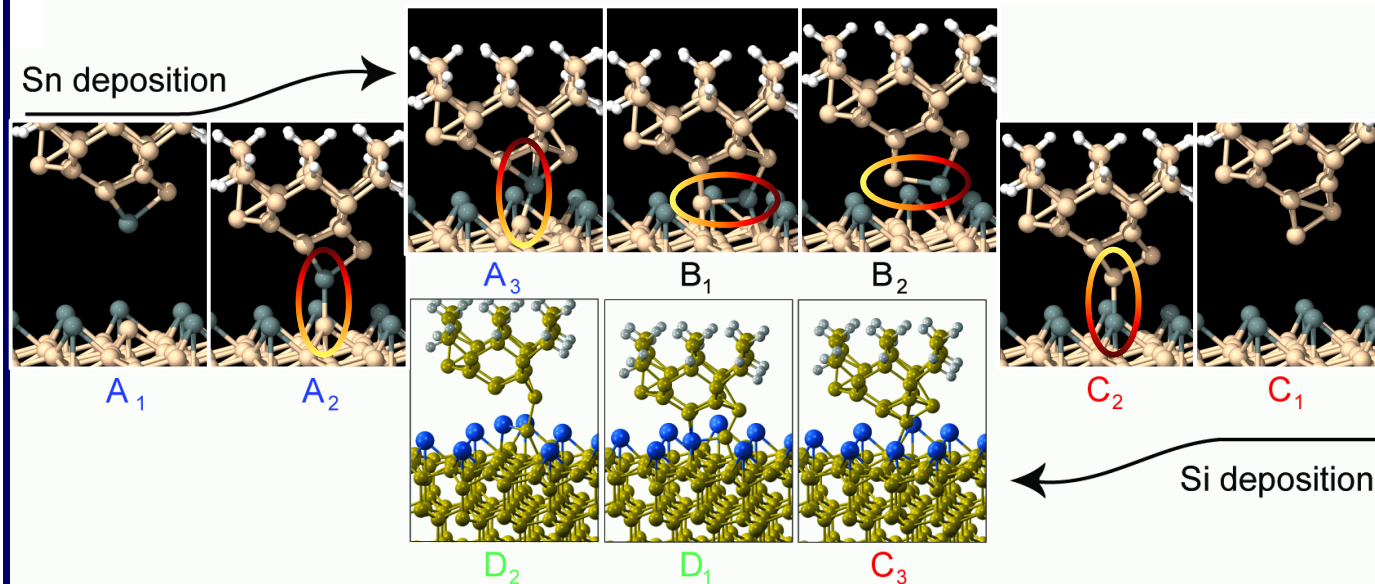
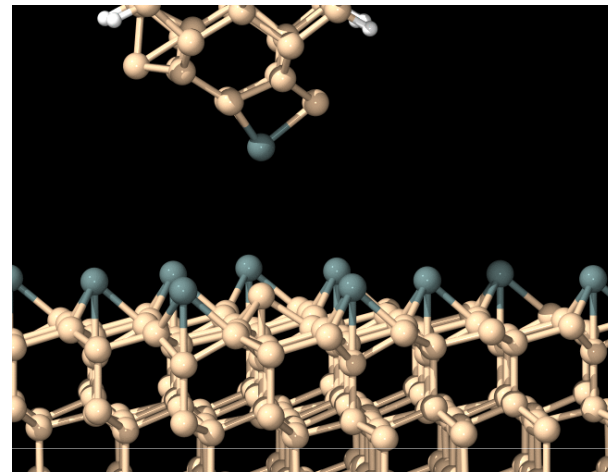
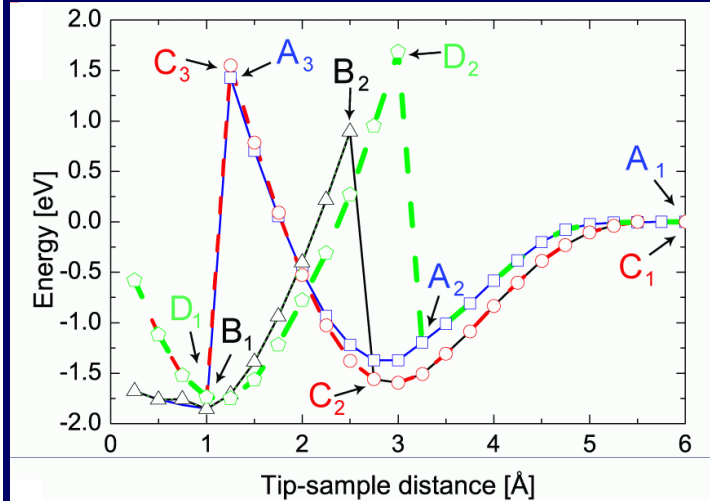
- Small tip surface distances: multi-atom contact
- Complex phase space
- Experimental tip: stable at the strong tip-surface interaction regime
- Apex model: all the atoms fixed but the two outermost ones.

## Advantages:

- I. Stability
- II. Simplification of the phase space



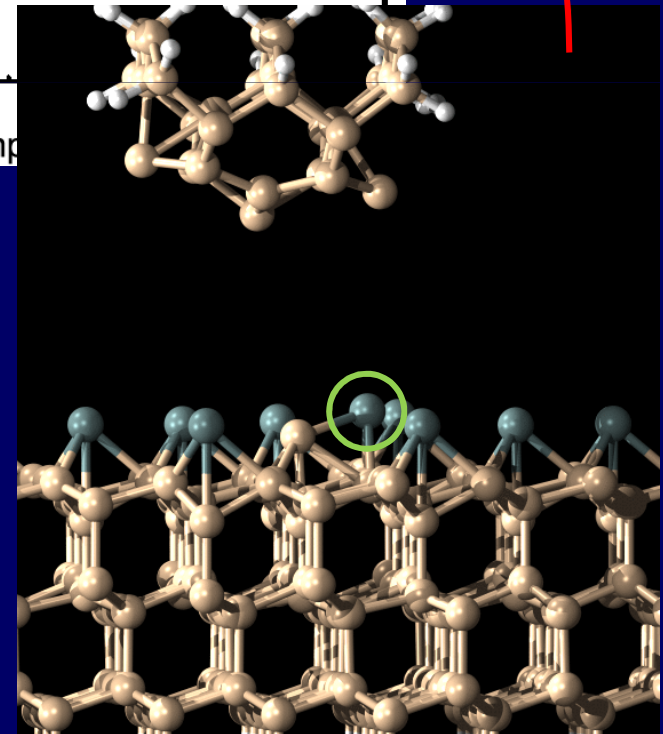
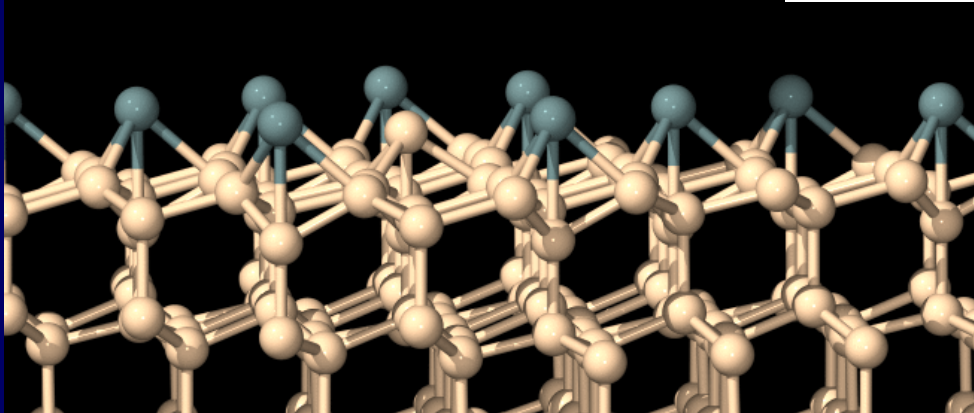
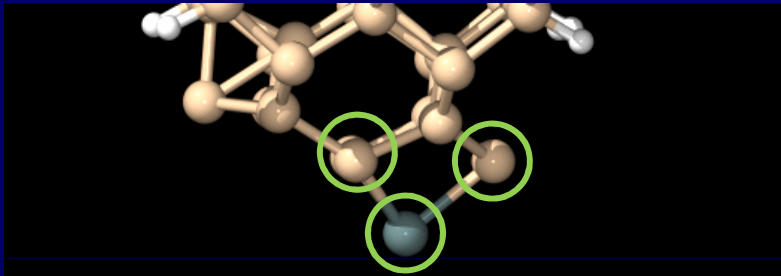
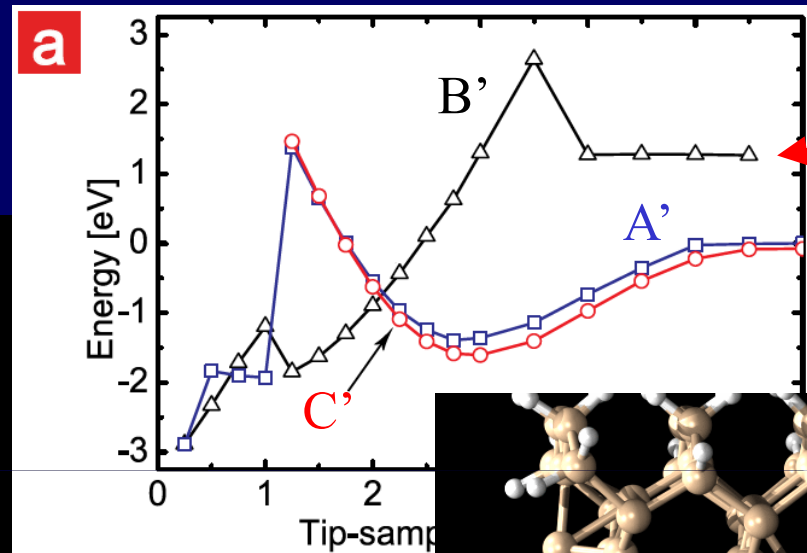
# Mechanism: Vertical scan



- Vertical manipulation as combination of mechanical and thermal process.
- Formation of characteristic **dimer structure** along deformation path (energetically stable).
- Atomic rearrangement reflects by energy & forces discontinuities.
- Local character of the tip-sample deformation.
- Temperature effect not included in the simulation.

# Mechanism: Energy Barriers

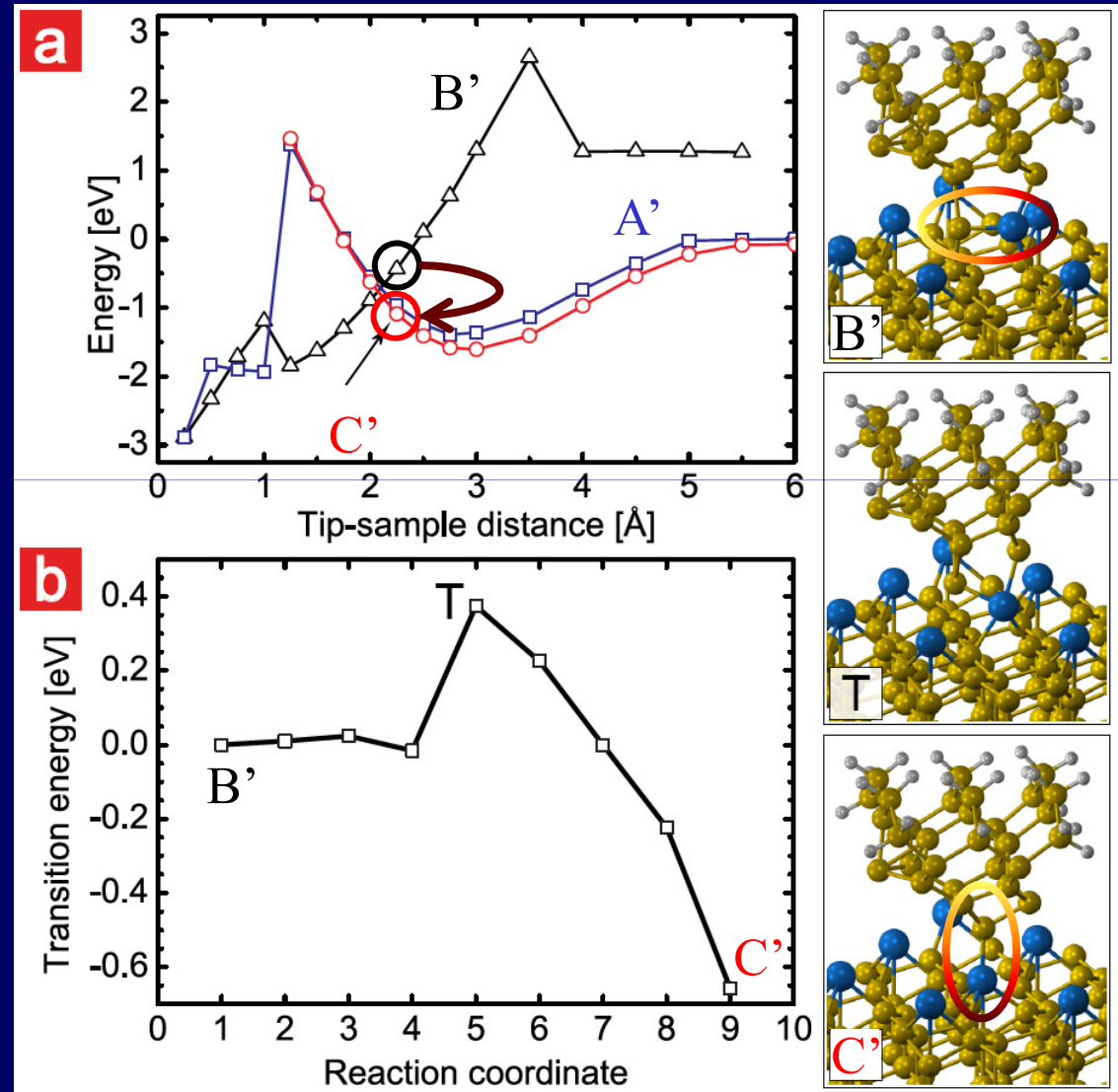
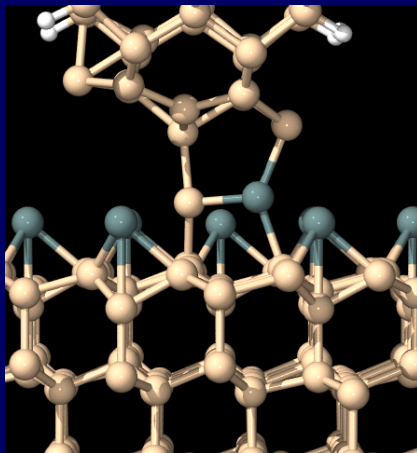
- Tip model: 4 atoms free
- Complicated configuration space; only limited phase space explored





# Mechanism: Energy Barriers

- Explore possible **dimer rearrangement** due to **thermal & mechanical movement**
- Complicated configuration space; only limited phase space explored
- Estimated **energy barriers**  $\sim 0.4$  eV (Nudged elastic band calculation).
- Dependence on the tip-sample distance, tip elasticity & structure, temperature...



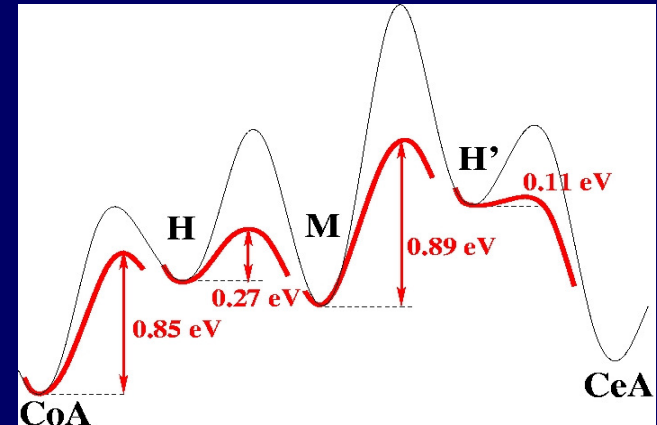
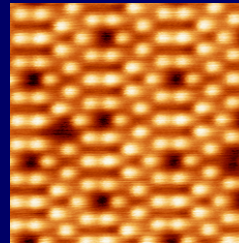
# Conclusions

Single-atom manipulations: atomistic insight into these processes.

## Lateral Si-vacancy manipulation

- Significant reduction of activation energy due to the tip proximity
- Operating at the attractive force regime

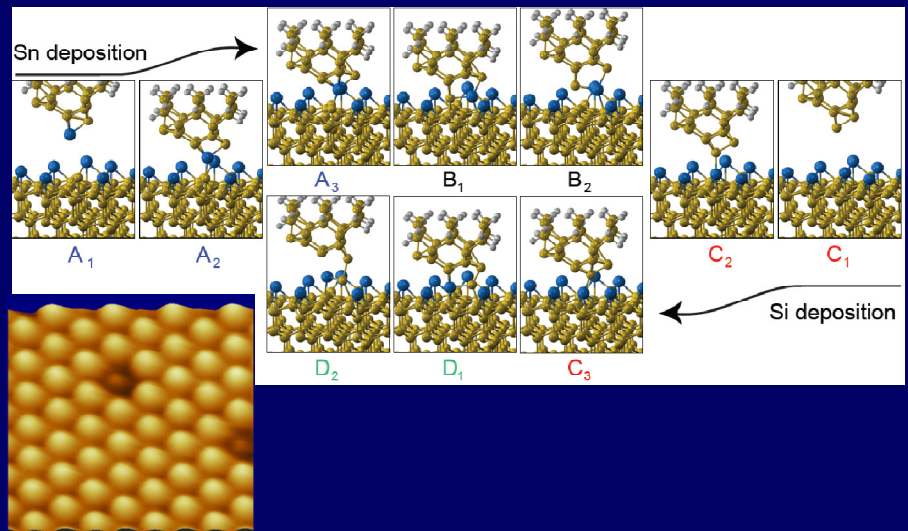
Y. Sugimoto et al, Phys. Rev. Lett. 98, 106104 (2007)



## Vertical Si/Sn-exchange manipulation

- New manipulation method: 'Interchange vertical manipulation'
- Characteristic mechanical deformation: the "hybrid tip-surface" dimer structure
- Operating at the repulsive force regime
- Combination of mechanical and thermal processes

Y. Sugimoto et al, Science 322, 413 (2008).





# Summary

- 1. Nanomechanics & SPM Theory Group: Forces & Transport in Nanostructures with ab initio methods**
- 2. “Tip-Induced Reduction of the Resonant Tunneling Current on Semiconductor Surfaces”**  
Phys. Rev. Lett. 101, 176101 (2008)
- 3. “Fullerenes from Aromatic Precursors by Surface Catalysed Cyclo-dehydrogenation”**  
Nature 454, 865 (2008)
- 4. “Complex Patterning by Vertical Interchange Atom Manipulation Using Atomic Force Microscopy”**  
Science 322, 413 (2008)

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